

# United States District Court

DISTRICT OF \_\_\_\_\_

SECURITIES AND EXCHANGE COMMISSION,

**SUMMONS IN A CIVIL CASE**

V.

CASE NUMBER:

KAN KING WONG AND  
CHARLOTTE KA ON WONG LEUNG JT**07 CV 3628**

TO: (Name and address of defendant)

KAN KING WONG  
FLAT C, 20/F THE ALBANY,  
1 ALBANY ROAD MID-LEVELS  
HONG KONG**JUDGE SCHEINDLIN****YOU ARE HEREBY SUMMONED** and required to serve upon PLAINTIFF'S ATTORNEY (name and address)JAN FOLENA  
SECURITIES AND EXCHANGE COMMISSION  
100 "F" STREET, NE  
WASHINGTON, DC 20549-4030  
(202) 551-4738

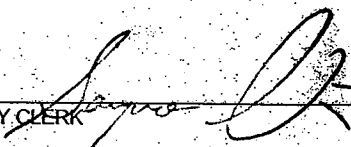
an answer to the complaint which is herewith served upon you, within 20 days after service of this summons upon you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. You must also file your answer with the Clerk of this Court within a reasonable period of time after service.

**J. MICHAEL McMAHON****MAY 08 2007**

CLERK

DATE

(BY) DEPUTY CLERK



AO 440 (Rev. 10/93) Summons In a Civil Action -SDNY WEB 4/99

**RETURN OF SERVICE**

Service of the Summons and Complaint was made by me <sup>1</sup>	DATE <u>5/9/2007</u>
NAME OF SERVER (PRINT) <u>Christopher Chatfield</u>	TITLE <u>Vice President Merrill Lynch</u>

Check one box below to indicate appropriate method of service

- ☐ Served personally upon the defendant. Place where served: \_\_\_\_\_
- ☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein.  
Name of person with whom the summons and complaint were left: \_\_\_\_\_
- ☐ Returned unexecuted: \_\_\_\_\_
- ☒ Other (specify): Sent via e-mail to Kkenvantage.com  
and via UPS International to the address of  
record (same as Reverse)

**STATEMENT OF SERVICE FEES**

TRAVEL	SERVICES	TOTAL
--------	----------	-------

**DECLARATION OF SERVER**

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on

Date

5/10/2007

Signature of Server

222 Broadway - 16th Fl.  
New York, NY 10038

Address of Server

NOTARIZED

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.